

Rational Embedding of Positive Definite Kernels on \mathbb{N} via Minimal-Norm Argument

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Abstract

We prove that every symmetric, rational, and positive definite kernel

$$k : \mathbb{N} \times \mathbb{N} \longrightarrow \mathbb{Q} \quad \text{with} \quad k(n, n) = 1, \forall n \in \mathbb{N}$$

admits an embedding $\phi : \mathbb{N} \rightarrow \ell_2(\mathbb{Q})$ such that

$$k(a, b) = \langle \phi(a), \phi(b) \rangle_{\ell_2} \quad \forall a, b \in \mathbb{N},$$

and every coordinate of $\phi(n)$ lies in \mathbb{Q} . The construction proceeds inductively: in the n -th step one solves a linear system with rational data and chooses the solution of minimal Euclidean norm. This ensures that all coordinates remain rational and that $\|w_{n-1}\|^2 < k(n, n) = 1$ at each stage.

1 Preliminaries

Let

$$k : \mathbb{N} \times \mathbb{N} \longrightarrow \mathbb{Q}$$

be a symmetric, positive definite kernel normalized by

$$k(n, n) = 1 \quad (\forall n \in \mathbb{N}).$$

Positive definiteness means: for every finite subset $I \subset \mathbb{N}$ and any rational coefficients $\{c_i\}_{i \in I} \subset \mathbb{Q}$, one has

$$\sum_{i, j \in I} c_i c_j k(i, j) > 0.$$

Our goal is to construct a mapping $\phi(n) \in \ell_2(\mathbb{Q})$ such that

$$\langle \phi(a), \phi(b) \rangle_{\ell_2} = k(a, b) \quad (\forall a, b \in \mathbb{N}),$$

and every component of $\phi(n)$ is rational.

We denote

$$\ell_2(\mathbb{Q}) = \{(x_1, x_2, \dots, x_N, 0, 0, \dots) \mid x_i \in \mathbb{Q}, N < \infty\},$$

with the standard inner product $\langle x, y \rangle = \sum_{r=1}^{\infty} x_r y_r$.

Base Case ($n = 1$). Define

$$\phi(1) = (1, 0, 0, \dots) \in \ell_2(\mathbb{Q}).$$

Then $\langle \phi(1), \phi(1) \rangle = 1 = k(1, 1)$. Clearly, $\phi(1)$ is rational and nonzero.

2 Induction Step

Assume we have already constructed $\phi(1), \dots, \phi(n-1) \in \ell_2(\mathbb{Q})$ such that

$$\langle \phi(i), \phi(j) \rangle = k(i, j) \quad \text{for all } 1 \leq i, j \leq n-1,$$

and $\phi(1), \dots, \phi(n-1)$ are linearly independent. We will show how to determine $\phi(n)$ with purely rational components.

2.1 Definition of C_{n-1} and v_{n-1}

Write each $\phi(i)$ as a finitely supported vector in \mathbb{Q}^{n-1} (all other entries zero):

$$\phi(i) = (\phi(i)_1, \phi(i)_2, \dots, \phi(i)_{n-1}, 0, 0, \dots), \quad \phi(i)_r \in \mathbb{Q}.$$

Define the $(n-1) \times (n-1)$ -matrix

$$C_{n-1} = \begin{pmatrix} \phi(1)_1 & \phi(1)_2 & \cdots & \phi(1)_{n-1} \\ \phi(2)_1 & \phi(2)_2 & \cdots & \phi(2)_{n-1} \\ \vdots & \vdots & \ddots & \vdots \\ \phi(n-1)_1 & \phi(n-1)_2 & \cdots & \phi(n-1)_{n-1} \end{pmatrix} \in \mathbb{Q}^{(n-1) \times (n-1)}.$$

Also set

$$v_{n-1} = \begin{pmatrix} k(1, n) \\ k(2, n) \\ \vdots \\ k(n-1, n) \end{pmatrix} \in \mathbb{Q}^{n-1}.$$

Since $k(i, n) \in \mathbb{Q}$, v_{n-1} is rational. Furthermore, define

$$G_{n-1} := C_{n-1} C_{n-1}^T = [k(i, j)]_{1 \leq i, j \leq n-1} \in \mathbb{Q}^{(n-1) \times (n-1)}.$$

By the induction hypothesis ($\phi(1), \dots, \phi(n-1)$ are linearly independent), one has $\det(G_{n-1}) \neq 0$. Hence G_{n-1} is invertible and $G_{n-1}^{-1} \in \mathbb{Q}^{(n-1) \times (n-1)}$.

2.2 Moore–Penrose Solution w_{n-1}

Define the *Moore–Penrose pseudo-inverse*

$$M_{n-1} = C_{n-1}^T G_{n-1}^{-1} \in \mathbb{Q}^{(n-1) \times (n-1)}.$$

Then set

$$w_{n-1} := M_{n-1} v_{n-1} \in \mathbb{Q}^{n-1}.$$

Properties of w_{n-1} :

1. $C_{n-1} w_{n-1} = v_{n-1}$. Hence w_{n-1} satisfies $\langle \phi(i), (w_{n-1}, 0, 0, \dots) \rangle = k(i, n)$ for $1 \leq i \leq n-1$.
2. w_{n-1} is the unique solution of $C_{n-1} x = v_{n-1}$ with minimal Euclidean norm.
3. Since C_{n-1} , v_{n-1} , G_{n-1}^{-1} are all rational, every component of w_{n-1} lies in \mathbb{Q} .

2.3 Strict Inequality $\|w_{n-1}\|^2 < k(n, n)$

We first show

$$\|w_{n-1}\|^2 < k(n, n) = 1.$$

Consider the Gram matrix

$$G_n = \begin{pmatrix} G_{n-1} & v_{n-1} \\ v_{n-1}^T & k(n, n) \end{pmatrix} = \begin{pmatrix} G_{n-1} & v_{n-1} \\ v_{n-1}^T & 1 \end{pmatrix}.$$

Since k is positive definite, $\det(G_n) > 0$ and $\det(G_{n-1}) > 0$. The Schur complement yields

$$\det(G_n) = \det(G_{n-1}) (1 - v_{n-1}^T G_{n-1}^{-1} v_{n-1}).$$

Because $\det(G_n) > 0$ and $\det(G_{n-1}) > 0$, it follows that

$$1 - v_{n-1}^T G_{n-1}^{-1} v_{n-1} > 0.$$

But

$$v_{n-1}^T G_{n-1}^{-1} v_{n-1} = w_{n-1}^T w_{n-1} = \|w_{n-1}\|^2.$$

Hence

$$\|w_{n-1}\|^2 < 1 = k(n, n).$$

This shows $0 < 1 - \|w_{n-1}\|^2 \in \mathbb{Q}$.

2.4 Choice of $\phi(n)$ Using Four Squares

We now choose $\phi(n)$ so that

$$\langle \phi(i), \phi(n) \rangle = k(i, n) \quad (i = 1, \dots, n-1), \quad \langle \phi(n), \phi(n) \rangle = k(n, n) = 1,$$

and all components of $\phi(n)$ lie in \mathbb{Q} . First, set the partial vector

$$\phi(n)^{(1 \dots n-1)} = w_{n-1} = (w_{n-1,1}, w_{n-1,2}, \dots, w_{n-1,n-1})^T.$$

Then already $\langle \phi(i), (w_{n-1}, 0, 0, \dots) \rangle = k(i, n)$ for $1 \leq i \leq n-1$. The norm of w_{n-1} is strictly less than 1. Let

$$\alpha := \|w_{n-1}\|^2 < 1, \quad \alpha \in \mathbb{Q} \text{ by induction.}$$

Thus for $\phi(n)$ we must have:

$$\|\phi(n)\|^2 = \|w_{n-1}\|^2 + \sum_{\ell=1}^m \beta_\ell^2 = 1,$$

where we introduce $m \geq 2$ additional coordinates $\beta_1, \dots, \beta_m \in \mathbb{Q}$ to realize the difference $1 - \alpha$ as a sum of squares. By Lagrange's four-squares theorem, there exist rational numbers $\beta_1, \beta_2, \beta_3, \beta_4 \in \mathbb{Q}$ such that

$$\beta_1^2 + \beta_2^2 + \beta_3^2 + \beta_4^2 = 1 - \alpha > 0.$$

Then define

$$\phi(n) = (w_{n-1,1}, w_{n-1,2}, \dots, w_{n-1,n-1}, \beta_1, \beta_2, \beta_3, \beta_4, 0, 0, \dots).$$

Clearly, all entries of $\phi(n)$ are rational. Moreover,

$$\langle \phi(i), \phi(n) \rangle = \sum_{r=1}^{n-1} \phi(i)_r w_{n-1,r} = (C_{n-1} w_{n-1})_i = v_{n-1,i} = k(i, n), \quad i = 1, \dots, n-1,$$

and

$$\|\phi(n)\|^2 = \|w_{n-1}\|^2 + (\beta_1^2 + \beta_2^2 + \beta_3^2 + \beta_4^2) = \alpha + (1 - \alpha) = 1 = k(n, n).$$

Thus the Gram matrix $[\langle \phi(i), \phi(j) \rangle]_{1 \leq i, j \leq n}$ coincides with $[k(i, j)]_{1 \leq i, j \leq n}$.

2.5 Linear Independence

It remains to show $\phi(n) \notin \text{span}\{\phi(1), \dots, \phi(n-1)\}$, so that the dimension indeed increases. Suppose, for the sake of contradiction, that $\phi(n)$ lies in the $(n-1)$ -dimensional subspace $\text{span}\{\phi(1), \dots, \phi(n-1)\}$. Then, in particular, the “residual” $(n-1)$ -coordinate block w_{n-1} would be exactly the representation of $\phi(n)$ in that basis, and hence

$$\|\phi(n)\|^2 = \|w_{n-1}\|^2.$$

But by construction, w_{n-1} is the *minimum-norm* solution of $C_{n-1}x = v_{n-1}$. Therefore, for any other solution x of $C_{n-1}x = v_{n-1}$, in particular for $x = \phi(n)^{(1\dots n-1)}$, one has

$$\|w_{n-1}\|^2 \leq \|\phi(n)^{(1\dots n-1)}\|^2.$$

However, $\|\phi(n)\|^2 = 1$, whereas $\|w_{n-1}\|^2 < 1$. If $\phi(n)$ lay in $\text{span}(\phi(1), \dots, \phi(n-1))$, then $\phi(n)$ would have to be represented entirely by w_{n-1} (with no additional coordinates), i.e. all $\beta_j = 0$, and thus $\|\phi(n)\|^2 = \|w_{n-1}\|^2 < 1$, contradicting $\|\phi(n)\|^2 = 1$. Therefore $\phi(n) \notin \text{span}\{\phi(1), \dots, \phi(n-1)\}$.

In summary, for each $n \in \mathbb{N}$ we have found a vector

$$\phi(n) \in \ell_2(\mathbb{Q})$$

such that

$$\langle \phi(i), \phi(j) \rangle = k(i, j) \quad \forall 1 \leq i, j \leq n, \quad \phi(n) \notin \text{span}\{\phi(1), \dots, \phi(n-1)\}.$$

It follows immediately:

Theorem 2.1. Let

$$k: \mathbb{N} \times \mathbb{N} \longrightarrow \mathbb{Q}$$

be a symmetric, rational, positive definite kernel with $k(n, n) = 1$. Then there exists a mapping $\phi: \mathbb{N} \rightarrow \ell_2(\mathbb{Q})$ such that

$$k(a, b) = \langle \phi(a), \phi(b) \rangle_{\ell_2} \quad \forall a, b \in \mathbb{N},$$

and every coordinate of $\phi(n)$ lies in \mathbb{Q} . Moreover, for all n , the set $\{\phi(1), \dots, \phi(n)\}$ is linearly independent.

□

3 SageMath Implementation

Below is a SageMath script that implements the inductive minimal-norm embedding for a positive-definite kernel $k: \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{Q}$. The function `phi(n, kernel)` returns the vector $\phi(n) \in \ell_2(\mathbb{Q})$ whose inner products reproduce $k(i, j)$ for $1 \leq i, j \leq n$. If the shortfall $1 - \|w_{n-1}\|^2$ is not already a perfect square in \mathbb{Q} , we use Lagrange’s four-squares theorem (via `four_squares_rational`) to write it as a sum of four rational squares.

```
from sage.all import *
from functools import lru_cache

def four_squares_rational(r):
```

```

"""
Given a positive rational  $r = p/q$  in lowest terms,
find  $(b_1, b_2, b_3, b_4)$  in  $\mathbb{Q}^4$  so that
 $b_1^2 + b_2^2 + b_3^2 + b_4^2 = r$ .
Achieved by writing  $n = p \cdot q$  and using Sage's four_squares() on integer  $n$ .
"""
if r <= 0:
    raise ValueError("r must be a positive rational.")
p, q = Integer(r.numerator()), Integer(r.denominator())
n = p * q
wxyz = four_squares(n) # returns [w, x, y, z] with  $w^2 + x^2 + y^2 + z^2 = n$ 
if len(wxyz) != 4:
    raise RuntimeError(f"four_squares returned {wxyz} instead of 4 integers")
w, x, y, z = map(Integer, wxyz)
# Convert to rationals by dividing each by q
return (QQ(w) / QQ(q),
        QQ(x) / QQ(q),
        QQ(y) / QQ(q),
        QQ(z) / QQ(q))

@lru_cache(maxsize=None)
def phi(n, kernel):
    """
    Recursively construct  $\phi(n)$  in  $\mathbb{Q}^n$  for the given ' $\text{kernel}(i,j)$ '.
    Returns  $\phi(n)$  as a Python list of  $\mathbb{Q}$ -coordinates.
    Uses memoization so  $\phi(k)$  for  $k < n$  is computed only once.
    """
    if n == 1:
        # Base case:  $\phi(1) = [1]$ 
        return [QQ(1)]

    # Recursively obtain  $\phi(1), \phi(2), \dots, \phi(n-1)$ 
    prev_vectors = [phi(k, kernel) for k in range(1, n)]

    # Determine current embedding dimension  $d = \max \text{length among } \phi(1..n-1)$ 
    d = max(len(vec) for vec in prev_vectors)

    # Build  $C_{\{n-1\}}$  as an  $(n-1) \times d$   $\mathbb{Q}$ -matrix; missing entries are 0
    def entry(i, j):
        row = prev_vectors[i] #  $\phi(i+1)$ 
        return row[j] if j < len(row) else QQ(0)

    C = Matrix(QQ, n-1, d, entry)

    # Build  $v_{\{n-1\}} = (\text{kernel}(1,n), \dots, \text{kernel}(n-1,n))^T$  in  $\mathbb{Q}^{n-1}$ 
    v = vector(QQ, [kernel(i+1, n) for i in range(n-1)])

    # Compute Gram  $G_{\{n-1\}} = C * C^T$ 
    G = C * C.transpose()

```

```

Ginv = G.inverse() # invertible over QQ by positive definiteness

# Moore-Penrose minimal-norm solution  $w_{\{n-1\}} = C^T * G^{-1} * v$ 
M = C.transpose() * Ginv
w = M * v # length-d vector in QQ

# Compute  $a = ||w||^2 = w*w$  in QQ
alpha = w.dot_product(w)
if not (alpha < QQ(1)):
    raise AssertionError(f"Expected  $a < 1$  but got  $a = \{\alpha\}$  at  $n = \{n\}$ ")

# Let  $r = 1 - a > 0$ 
r = QQ(1) - alpha

# Represent  $r$  as sum of four rational squares
beta1, beta2, beta3, beta4 = four_squares_rational(r)

# Form  $\phi(n)$  by concatenating  $w$  plus these four betas
phi_n = list(w) + [beta1, beta2, beta3, beta4]
return phi_n

# -----
# Example 1:  $k(a,b) = \min(a,b)/\max(a,b)$ 
# -----
def k1(a, b):
    a, b = Integer(a), Integer(b)
    return QQ(min(a,b), max(a,b))

# Compute  $\phi(1), \dots, \phi(6)$  for  $k1$ 
print("Embedding vectors for  $k(a,b) = \min(a,b)/\max(a,b)$ :")
for i in range(1, 7):
    vec = phi(i, k1)
    print(f" $\phi(\{i\}) = \{\text{vec}\}$ ")

# -----
# Example 2:  $k(a,b) = \gcd(a,b)^2 / (a*b)$ 
# -----
def k2(a, b):
    a, b = Integer(a), Integer(b)
    return QQ(gcd(a,b)**2, a*b)

print("\nEmbedding vectors for  $k(a,b) = \gcd(a,b)^2/(a*b)$ :")
for i in range(1, 7):
    vec = phi(i, k2)
    print(f" $\phi(\{i\}) = \{\text{vec}\}$ ")

# -----
# Example 3:  $k(a,b) = 2*\gcd(a,b)/(a+b)$ 
# -----

```

```

def k3(a, b):
    a, b = Integer(a), Integer(b)
    return QQ(2*gcd(a,b), a+b)

print("\nEmbedding vectors for k(a,b) = 2*gcd(a,b)/(a+b):")
for i in range(1, 7):
    vec = phi(i, k3)
    print(f"phi({i}) = {vec}")

```

4 Computed Examples

Below are the explicit embedding vectors $\phi(n)$ returned by the above SageMath code, for three different kernels.

4.1 1. Kernel

$$\begin{aligned}
\phi(1) &= [1], \\
\phi(2) &= \left[\frac{1}{2}, 0, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}\right], \\
\phi(3) &= \left[\frac{1}{3}, 0, \frac{1}{3}, \frac{1}{3}, \frac{1}{3}, 0, 0, \frac{1}{3}, \frac{2}{3}\right],
\end{aligned}$$

4.2 2. Kernel

$$\begin{aligned}
\phi(1) &= [1], \\
\phi(2) &= \left[\frac{1}{2}, 0, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}\right], \\
\phi(3) &= \left[\frac{1}{3}, 0, 0, 0, 0, 0, \frac{2}{9}, \frac{2}{9}, \frac{8}{9}\right], \\
\phi(4) &= \left[\frac{1}{4}, 0, \frac{1}{4}, \frac{1}{4}, \frac{1}{4}, 0, 0, 0, 0, 0, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}\right],
\end{aligned}$$

4.3 3. Kernel

$$\begin{aligned}
\phi(1) &= [1], \\
\phi(2) &= \left[\frac{2}{3}, 0, 0, \frac{1}{3}, \frac{2}{3}\right], \\
\phi(3) &= \left[\frac{1}{2}, 0, 0, \frac{1}{25}, \frac{2}{25}, \frac{1}{125}, \frac{1}{50}, \frac{11}{250}, \frac{43}{50}\right],
\end{aligned}$$

5 Extending to p.d. kernels k not necessarily with $k(n, n) = \text{constant}$

The method can be extended to any p.d. kernels k over the natural numbers, taking rational values, by letting in the induction start:

$$\phi(1) = (a, b, c, d)$$

where the rational coordinates a, \dots, d satisfy $a^2 + b^2 + c^2 + d^2 = k(1, 1)$ by Lagranges theorem and in the induction step we chose a, b, c, d to match $a^2 + b^2 + c^2 + d^2 = k(n, n) - |w_{n-1}|^2 > 0$.

6 Equivalence between Integral Conditions and Integer-valued Embeddings

Let

$$k: \mathbb{N} \times \mathbb{N} \longrightarrow \mathbb{Q}$$

be a positive-definite kernel. For each $n \in \mathbb{N}$, denote

$$G_n = [k(i, j)]_{1 \leq i, j \leq n}$$

its $n \times n$ Gram matrix, and let

$$v_n = (k(1, n+1), k(2, n+1), \dots, k(n, n+1))^T \in \mathbb{Q}^n.$$

We write $\text{adj}(M)$ for the adjugate (classical adjoint) of a matrix M .

Theorem 6.1. The following two statements are equivalent:

I. Integral conditions.

1. $k(1, 1) \in \mathbb{N}$.
2. For every n , $G_n^{-1}v_n \in \mathbb{Z}^n$.
3. $k(a, b) \in \mathbb{Z}$ for all $a, b \in \mathbb{N}$.

II. Integer-valued Hilbert embedding.

1. There exists a map $\phi: \mathbb{N} \rightarrow \ell^2(\mathbb{Z})$ such that

$$k(a, b) = \langle \phi(a), \phi(b) \rangle_{\ell^2} \quad \forall a, b \in \mathbb{N}.$$

2. For every n ,

$$\det(G_n) \mid [\text{adj}(G_n)v_n]_i \quad (1 \leq i \leq n),$$

i.e. $\det(G_n)$ divides each entry of $\text{adj}(G_n)v_n$.

Before proving the equivalence, we recall:

Remark 6.2 (Sylvester's criterion). A real symmetric matrix A is positive definite if and only if all its leading principal minors are positive. In particular, $\det(G_n) > 0$ for every n .

Proof of (II) \implies (I). 1. Since $\phi(1) \in \ell^2(\mathbb{Z})$ has finitely many nonzero integer coordinates a_i ,

$$k(1, 1) = \langle \phi(1), \phi(1) \rangle = \sum_i a_i^2 \in \mathbb{N},$$

and is nonzero by positive definiteness.

2. By Sylvester's criterion, $\det(G_n) > 0$ and

$$G_n^{-1} = \frac{\text{adj}(G_n)}{\det(G_n)},$$

so II.2 gives

$$G_n^{-1}v_n = \frac{\text{adj}(G_n)v_n}{\det(G_n)} \in \mathbb{Z}^n,$$

proving I.2.

3. Finally, for any $a, b \in \mathbb{N}$,

$$k(a, b) = \langle \phi(a), \phi(b) \rangle \in \mathbb{Z},$$

establishing I.3.

□

Proof of (I) \implies (II). We construct $\phi(1), \phi(2), \dots$ inductively in $\ell^2(\mathbb{Z})$.

Base case ($n = 1$). By Lagrange's four-square theorem, write

$$k(1, 1) = a^2 + b^2 + c^2 + d^2, \quad a, b, c, d \in \mathbb{Z}.$$

Set $\phi(1) = (a, b, c, d, 0, 0, \dots)$ so that $\|\phi(1)\|^2 = k(1, 1)$.

Inductive step. Assume $\phi(1), \dots, \phi(n)$ satisfy

$$\langle \phi(i), \phi(j) \rangle = k(i, j) \quad (1 \leq i, j \leq n).$$

Let

$$G_n = [k(i, j)]_{1 \leq i, j \leq n}, \quad v_n = (k(1, n+1), \dots, k(n, n+1))^T.$$

By I.2–I.3, $G_n \in \mathbb{Z}^{n \times n}$ is invertible over \mathbb{Q} and

$$w_n = G_n^{-1} v_n \in \mathbb{Z}^n.$$

Then Sylvester's criterion gives

$$\det(G_{n+1}) = \det(G_n) (k(n+1, n+1) - v_n^T G_n^{-1} v_n) = \det(G_n) (k(n+1, n+1) - \|w_n\|^2) > 0,$$

so $\|w_n\|^2 < k(n+1, n+1)$, and the integer $k(n+1, n+1) - \|w_n\|^2 > 0$ is a sum of four squares,

$$k(n+1, n+1) - \|w_n\|^2 = \beta_1^2 + \beta_2^2 + \beta_3^2 + \beta_4^2, \quad \beta_i \in \mathbb{Z}.$$

Define

$$\phi(n+1) = (w_{n,1}, \dots, w_{n,n}, \beta_1, \beta_2, \beta_3, \beta_4, 0, \dots).$$

One checks

$$\langle \phi(i), \phi(n+1) \rangle = v_{n,i} = k(i, n+1), \quad \|\phi(n+1)\|^2 = \|w_n\|^2 + \sum_{j=1}^4 \beta_j^2 = k(n+1, n+1),$$

and $\phi(n+1) \notin \text{span}\{\phi(1), \dots, \phi(n)\}$. This completes the induction and yields the desired ϕ . Finally, I.2 is equivalent to II.2 by the adjugate formula. \square